
CALIFORNIA SALMONID STREAM HABITAT RESTORATION MANUAL

APPENDIX IX-A

STATE OF CALIFORNIA RESOURCES AGENCY
DEPARTMENT OF FISH AND GAME

CULVERT CRITERIA FOR FISH PASSAGE

For habitat protection, ecological connectivity should be a goal of stream-road crossing designs. The narrowest scope of crossing design is to pass floods. The next level is requiring fish passage. The next level includes sizing the crossing for sediment and debris passage. For ecosystem health, "ecological connectivity" is necessary. Ecological connectivity includes fish, sediment, debris, other organisms and channel/floodplain processes.

Ken Bates – WDFW

INTRODUCTION

The following criteria have been adopted by the California Department of Fish and Game (DFG) to provide for upstream fish passage at culverts. This is not a culvert design manual, rather it is supplemental criteria to be used by qualified professionals for the design of culverts that meet both hydraulic and fish passage objectives while minimizing impacts to the adjacent aquatic and riparian resources. The objective of these criteria is to provide unimpaired fish passage with a goal of providing ecological connectivity.

Previous versions of the DFG Culvert Criteria were based on hydraulic design of culverts to match the swimming performance of adult anadromous salmonids. This revision of the criteria has been expanded to include considerations for juvenile anadromous salmonids, non-anadromous salmonids, native non-salmonids, and non-native fish. While criteria are still included for the hydraulic design option, criteria have been added for two additional design options that are based on the principles of ecological connectivity. The two additional design methods are:

- Active Channel Option
- Stream Simulation Option

The criteria contained in this document are based on the works of several organizations including state and federal agencies, universities, private organizations and consulting professionals. These criteria are intended to be consistent with the National Oceanic and Atmospheric Administration Fisheries, Southwest Region (NOAA-SWR) *Guidelines for Salmonid Passage at Stream Crossings*, as well as being in general agreement with Oregon and Washington Departments of Fish and Wildlife culvert criteria for fish passage. This document is considered a "Work in Progress" and will be revised as new information warrants.

The Caltrans Highway Design Manual defines a culvert as "A closed conduit which allows water to pass under a highway," and in general, has a single span of less than 6.1 meters (20 feet) or multiple spans totaling less than 6.1 meters. For the purpose of fish passage, the distinction between bridge, culvert or low water crossing is not as important as the effect the structure has on

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the form and function of the stream. To this end, these criteria conceptually apply to bridges and low water crossings, as well as culverts.

The primary factors that determine the extent to which fish passage will be impacted by the construction of a crossing are:

- The degree of constriction the crossing has on the stream channel
- The degree to which the streambed is allowed to adjust to vertically
- The length of stream channel impacted by the crossing
- The degree to which the stream velocity has been increased by the crossing.

For unimpaired fish passage, it is desirable to have a crossing that is a large percentage of the channel bankfull width, allows for a natural variation in bed elevation, and provides bed and bank roughness similar to the upstream and downstream channel.

In general, bridges are preferred over culverts because they typically do not constrict a stream channel to as great a degree as culverts and usually allow for vertical movement of the streambed. Bottomless culverts may provide a good alternative for fish passage where foundation conditions allow their construction and width criteria can be met. In all cases, the vertical and lateral stability of the stream channel should be taken into consideration when designing a crossing.

APPLICATION OF CRITERIA

These criteria are intended to apply to new and replacement culverts where fish passage is legally mandated or is otherwise important to the life histories of the fish and wildlife that utilize the stream and riparian corridor. Not all stream crossings may be required to provide upstream fish passage, and of those that do, some may only require passage for specific species and age classes of fish.

Where existing culverts are being modified or retrofitted to improve fish passage, the Hydraulic Design Option criteria should be the design objective for the improvements. However, it is acknowledged that the conditions that cause an existing culvert to impair fish passage may also limit the remedies for fish passage improvement. Therefore, short of culvert replacement, the Hydraulic Design Option criteria should be the goal for improvement and not the required design threshold.

To determine the biological considerations and applicable criteria for a particular culvert site, the project sponsors should contact the Department of Fish and Game, the National Oceanic and Atmospheric Administration Fisheries (for projects in marine and anadromous waters) and the US Fish and Wildlife Service (for projects in anadromous and fresh waters) for guidance.

It is the responsibility of the project sponsor to obtain the most current version of the culvert criteria for fish passage. Copies of the current criteria are available from the Department of Fish and Game through the appropriate Regional office, which should be the first point of contact for any stream crossing project. Addresses and phone numbers for the California Department of Fish and Game Regional Offices are shown in Table IX A-1.

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California Dept. of Fish and Game Regional Offices		
Region	Address	Phone Number
Northern California -North Coast Region	601 Locust Street Redding, CA 96001	(530) 225-2300
Sacramento Valley -Central Sierra Region	1701 Nimbus Drive Rancho Cordova, CA 95670	(916) 358-2900
Central Coast Region	7329 Silverado Trail P.O. Box 47 Yountville, CA 94599	(707) 944-5500
San Joaquin Valley - Southern Sierra Region	1234 E. Shaw Avenue Fresno, CA 93710	(559) 243-4005 x151
South Coast Region	4649 Viewridge Avenue San Diego, CA 92123	(858) 467-4200
Eastern Sierra - Inland Deserts Region	4775 Bird Farm Road Chino Hills, CA 9709	(909) 597-9823

Table IX-A- 1. California Department of Fish and Game regional offices.

DESIGN OPTIONS

All culverts should be designed to meet appropriate hydraulic capacity and structural integrity criteria. In addition, where fish passage is required, the culvert shall be designed to meet the criteria of the Active Channel Design Option, Stream Simulation Design Option or the Hydraulic Design Option for Upstream Fish Passage. The suitability of each design option is shown in Table IX-A-2.

Allowable Design Options			
Fish Passage Requirement	Active Channel Design Option or Stream Simulation Design Option	Hydraulic Design Option For Upstream Fish Passage	Hydraulic Capacity & Structural Integrity
Adult Anadromous Salmonids	X	X	
Adult Non-Anadromous Salmonids	X	X	
Juvenile Salmonids	X	X	
Native Non-Salmonids	X	Conditional based on species swimming data	
Non-Native Species	X		
Fish Passage Not Required	X		X

Table IX-A- 2. Suitability design options.

Active Channel Design Option

The Active Channel Design Option (Figure IX-A-1) is a simplified design method that is intended to size a crossing sufficiently large and embedded deep enough into the channel to allow the natural movement of bedload and formation of a stable bed inside the culvert. Determination of the high and low fish passage design flows, water velocity, and water depth is not required for this option since the stream hydraulic characteristics within the culvert are intended to mimic the stream conditions upstream and downstream of the crossing.

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The Active Channel Design Option is suitable for the following conditions:

- New and replacement culvert installations
- Simple installations with channel slopes less than 3 percent
- Short culvert length (less than 100 feet)
- Passage required for all fish

Culvert Setting & Dimensions

Culvert Width - The minimum culvert width shall be equal to, or greater than, 1.5 times the active channel width.

Culvert Slope - The culvert shall be placed level (0 percent slope).

Embedment - The bottom of the culvert shall be buried into the streambed not less than 20 percent of the culvert height at the outlet and not more than 40 percent of the culvert height at the inlet.

Embedment does not apply to bottomless culverts.

See section on Considerations, Conditions, and Restrictions for all design options.

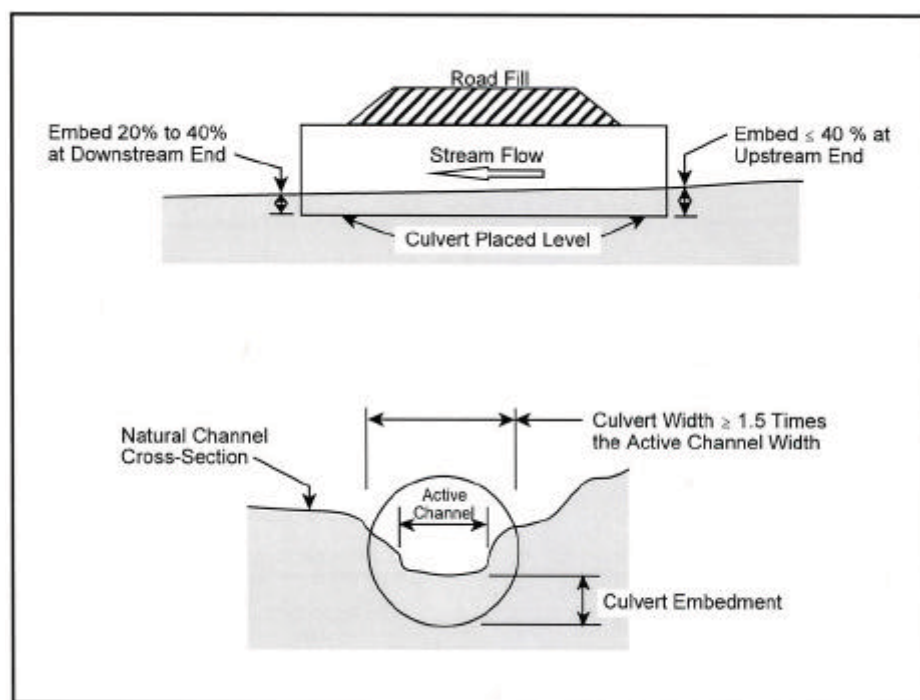


Figure IX-A-1. Active channel design option.

Stream Simulation Design Option

The Stream Simulation Design Option (Figure IX-A-2) is a design process that is intended to mimic the natural stream processes within a culvert. Fish passage, sediment transport, flood and debris conveyance within the crossing are intended to function as they would in a natural channel. Determination of the high and low fish passage design flows, water velocity, and water depth is not required for this options since the stream hydraulic characteristics within the culvert are designed to mimic the stream conditions upstream and downstream of the crossing.

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Stream simulation crossings are sized as wide, or wider than, the bankfull channel and the bed inside the culvert is sloped at a gradient similar to that of the adjacent stream reach. These crossings are filled with a streambed mixture that is resistant to erosion and is unlikely to change grade, unless specifically designed to do so. Stream simulation crossings require a greater level of information on hydrology and topography and a higher level of engineering expertise than the Active Channel Design Option.

The Stream Simulation Design Option is suitable for the following conditions:

- New and replacement culvert installations
- Complex installations with channel slopes less than 6 percent
- Moderate to long culvert length (greater than 100 feet)
- Passage required for all fish
- Ecological connectivity required.

Culvert Setting & Dimensions

Culvert Width - The minimum culvert width shall be equal to, or greater than, the bankfull channel width. The minimum culvert width shall not be less than 6 feet.

Culvert Slope - The culvert slope shall approximate the slope of the stream through the reach in which it is being placed. The maximum slope shall not exceed 6 percent.

Embedment - The bottom of the culvert shall be buried into the streambed not less than 30 percent and not more than 50 percent of the culvert height. Embedment does not apply to bottomless culverts.

Substrate Configuration and Stability

- Culverts with slopes greater than 3 percent shall have the bed inside the culvert arranged into a series of step-pools with the drop at each step not exceeding the limits shown in Table IX-A-7.
- Smooth walled culverts with slopes greater than 3 percent may require bed retention sills within the culvert to maintain the bed stability under elevated flows.
- The gradation of the native streambed material or engineered fill within the culvert shall address stability at high flows and shall be well graded to minimize interstitial flow through it.

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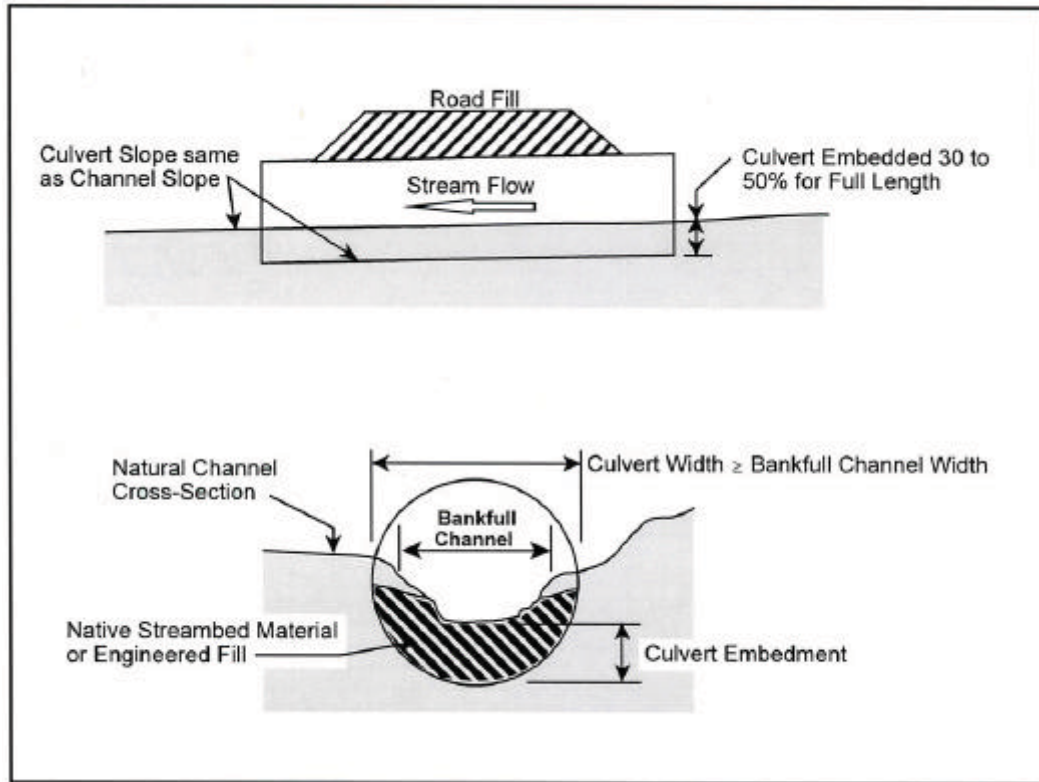


Figure IX-A- 2 Stream simulation design option.

Hydraulic Design Option

The Hydraulic Design Option is a design process that matches the hydraulic performance of a culvert with the swimming abilities of a target species and age class of fish. This method targets distinct species of fish, therefore it does not account for ecosystem requirements of non-target species. There can be significant errors associated with estimation of hydrology and fish swimming speeds that are mitigated by making conservative assumptions in the design process. Determination of the high and low fish passage design flows, water velocity, and water depth are required for this option.

The Hydraulic Design Option requires hydrologic data analysis, open channel flow, hydraulic calculations, and information on the swimming ability and behavior of the target group of fish. This design option can be applied to the design of new and replacement culverts and can be used to evaluate the effectiveness of retrofits for existing culverts.

The Hydraulic Design Option is suitable for the following conditions:

- New, replacement, and retrofit culvert installations
- Low to moderate channel slopes (less than 3 percent)
- Active Channel Design or Stream Simulation Options is not physically feasible
- Swimming ability and behavior of target species of fish is known
- Ecological connectivity not required
- Evaluation of proposed improvements to existing culverts.

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HYDROLOGY

High Design Flow for Fish Passage

The high design flow for fish passage is used to determine the maximum water velocity within the culvert. Where flow duration data is available or can be synthesized, use the values for Percent Annual Exceedance Flow shown in Table IX-A-3. If flow duration data is not available the values shown for Percentage of 2-year Recurrence Interval Flow may be used as an alternative.

High Design Flow for Fish Passage		
Species/Life Stage	Percent Annual Exceedance Flow	Percentage of 2-year Recurrence Interval Flow
Adult Anadromous Salmonids	1%	50%
Adult Non-Anadromous Salmonids	5%	30%
Juvenile Salmonids	10%	10%
Native Non-Salmonids	5%	30%
Non-Native Species	10%	10%

Table IX-A- 3. High design flow for fish passage.

Low Design Flow for Fish Passage

The low design flow for fish passage is used to determine the minimum depth of water within a culvert. Where flow duration data is available or can be synthesized, use the values for Percent Annual Exceedance Flow shown in Table IX-A-4. If the Percent Annual Exceedance Flow is determined to be less than the Alternate Minimum Flow, use the Alternate Minimum Flow. If flow duration data is not available, the values shown for Alternate Minimum Flow may be used.

Low Design Flow for Fish Passage		
Species/Lifestage	Percent Annual Exceedance Flow	Alternate Minimum Flow (cfs)
Adult Anadromous Salmonids	50%	3
Adult Non-Anadromous Salmonids	90%	2
Juvenile Salmonids	95%	1
Native Non-Salmonids	90%	1
Non-Native Species	90%	1

Table IX-A- 4. Low design flow for fish passage.

Hydraulics

Maximum Average Water Velocity in Culvert (At high design flow) - Where fish passage is required, the maximum average water velocity within the culvert shall not exceed the values shown in Tables IX-A-5 and IX-A-6.

Minimum Water Depth in Culvert (At low design flow) - Where fish passage is required, the minimum water depth within the culvert shall not be less than the values shown in Table IX-A-5.

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Species/Lifestage	Maximum Average Water Velocity (fps)	Minimum Flow Depth (ft)
Adult Anadromous Salmonids	See Table 6	1.0
Adult Non-Anadromous Salmonids	See Table 6	0.67
Juvenile Salmonids	1	0.5
Native Non-Salmonids	Species specific swimming performance data is required for the use of the hydraulic design option for non-salmonids. Hydraulic design is not allowed for these species without this data.	
Non-Native Species		

Table IX-A- 5. Maximum average water velocity and minimum depth of flow.

Culvert Length (ft)	Adult Non-Anadromous Salmonids (fps)	Adult Anadromous Salmonids (fps)
<60	4	6
60-100	4	5
100-200	3	4
200-300	2	3
>300	2	2

Table IX-A- 6. Culvert length vs. maximum average water velocity for adult salmonids.

Maximum Outlet Drop - Hydraulic drops between the water surface in the culvert to the pool below the culvert should be avoided for all cases. Where fish passage is required and a hydraulic drop is unavoidable, its magnitude should be evaluated for both high design flow and low design flow and shall not exceed the values shown in Table IX-A-7. If a hydraulic drop occurs at the culvert outlet, a jump pool of at least 2 feet in depth shall be provided.

Species/Lifestage	Maximum Drop (ft)
Adult Anadromous Salmonids	1
Adult Non-Anadromous Salmonids	1
Juvenile Salmonids	0.5
Native Non-Salmonids	Where fish passage is required for native non-salmonids, no hydraulic drop shall be allowed at the culvert outlet unless data is presented which will establish the leaping ability and leaping behavior of the target species of fish.
Non-Native Species	

Table IX-A- 7. Maximum drop at culvert outlet.

Hydraulic Controls - Hydraulic controls in the channel upstream and/or downstream of a crossing can be used to provide a continuous low flow path through the crossing and stream reach. They can be used to facilitate fish passage by establishing the following desirable conditions:

- Control depth and water velocity within the crossing
- Concentrate low flows
- Provide resting pools upstream and downstream of the crossing
- Control erosion of the streambed and banks.

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Baffles - Baffles shall not be used in the design of new or replacement culverts in order to meet the hydraulic design criteria.

Adverse Hydraulic Conditions - The following hydraulic conditions are generally considered to be detrimental to efficient fish passage and should be avoided. The degree to which they impede fish passage depends upon the magnitude of the condition. Crossings designed by the Hydraulic Design Option should be evaluated for the following conditions at high design flow for fish passage:

- Super critical flow
- Hydraulic jumps
- Highly turbulence conditions
- Abrupt changes in water surface elevation at inlet and outlet.

Culvert Setting & Dimensions

Culvert Width - The minimum culvert width shall be 3 feet.

Culvert Slope - The culvert slope shall not exceed the slope of the stream through the reach in which the crossing is being placed. If embedment of the culvert is not possible, the maximum slope shall not exceed 0.5 percent.

Embedment - Where physically possible, the bottom of the culvert shall be buried into the streambed a minimum of 20 percent of the height of the culvert below the elevation of the tailwater control point downstream of the culvert. The minimum embedment should be at least 1 foot. Where physical conditions preclude embedment, the hydraulic drop at the outlet of a culvert shall not exceed the limits specified above.

CONSIDERATIONS, CONDITIONS, AND RESTRICTIONS FOR ALL DESIGN OPTIONS

Anadromous Salmonid Spawning Areas

The hydraulic design method shall not be used for new or replacement culverts in anadromous salmonid spawning areas.

High Design Flow for Structural Integrity

All culvert stream crossings, regardless of the design option used, shall be designed to withstand the 100-year peak flood flow without structural damage to the crossing. The analysis of the structural integrity of the crossing shall take into consideration the debris loading likely to be encountered during flooding.

Headwater Depth

The upstream water surface elevation shall not exceed the top of the culvert inlet for the 10-year peak flood and shall not be greater than 50 percent of the culvert height or diameter above the top of the culvert inlet for the 100-year peak flood.

Oversizing for Debris

In some cases, it may be necessary to increase the size of a culvert beyond that calculated for flood flows or fish passage in order to pass flood-borne debris. Where there is significant risk of inlet plugging by flood borne debris, culverts should be designed to pass the 100-year peak flood without exceeding the top of the culvert inlet. Oversizing for flood-borne debris may not be

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necessary if a culvert maintenance agreement has been effected and the culvert inlet can be safely accessed for debris removal under flood flow conditions.

Inlet Transitions

A smooth hydraulic transition should be made between the upstream channel and the culvert inlet to facilitate passage of flood borne debris.

Interior Illumination

Natural or artificial supplemental lighting shall be provided in new and replacement culverts that are over 150 feet in length. Where supplemental lighting is required, the spacing between light sources shall not exceed 75 feet.

Adverse Conditions to be Avoided

- Excessive skew with stream alignment
- Changes in alignment within culvert
- Trash racks and livestock fences
- Realignment of the natural stream channel.

Multiple Culverts

Multiple culverts are discouraged where the design criteria can be met with a single culvert. If multiple culverts are necessary, a multi-barreled box culvert is preferred over multiple individual culverts. Site-specific criteria may apply to multiple culvert installations.

Bottomless Culverts

Bottomless culverts are generally considered to be a good solution where fish passage is required, so long as culvert width criteria are met and the culvert footings are deep enough to avoid scour exposure. Site-specific criteria may apply to bottomless culverts installations.

CULVERT RETROFITS FOR FISH PASSAGE

Culverts that have fish passage problems were generally designed with out regard for fish passage. While these culverts may convey stream flow, they are often undersized for the watershed hydrology, stream fluvial processes, have been placed at a slope that is too steep for fish passage, or have had the outlet raised above the channel bed in order to control the water velocity in the culvert. Most of these problems arise from the culvert being undersized. For undersized culverts it is difficult, if not impossible, to meet the objective of unimpaired fish passage without replacing the culvert. However, in many cases, fish passage can be significantly improved for some species and their life stages without fully meeting the hydraulic criteria for new culverts. In some cases a modest improvement in hydraulic conditions can result in a significant improvement in fish passage.

Where existing culverts are being modified or retrofitted to improve fish passage, the Hydraulic Design Option criteria should be the design objective for improvements. However, it is acknowledged that the conditions that cause an existing culvert to impair fish passage may also limit the remedies for fish passage improvement. Therefore, short of culvert replacement, the Hydraulic Design Option criteria should be the goal for improvement and not the required design threshold.

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A protocol for fish passage evaluation at existing culverts is included in the Department of Fish and Game's *California Salmonid Stream Habitat Restoration Manual*. This manual also includes information methods for improving fish passage at road crossings.

Fish passage through existing non-embedded culverts may be improved through the use of gradient control weirs upstream or downstream of the culvert, interior baffles or weirs, or in some cases, fish ladders. However, these measures are not a substitute for good fish passage design for new or replacement culverts.

Gradient Control Weirs

- Downstream Channel - Control weirs can be used in downstream channel to backwater through culvert or reduce an excessive hydraulic drop at a culvert outlet. The maximum drop at the culvert outlet shall not exceed the values in Table IX-A-7.
- Upstream Channel - Control weirs can be used in the channel upstream of the culvert inlet to re-grade the bed slope and improve exit conditions.
- Hydraulic Drop - The individual hydraulic drop across a single control weir shall not exceed the values in Table IX-A-7, except that boulder weirs may drop 1 foot per weir for all salmonids, including juveniles.

Baffles

Baffles may provide incremental fish passage improvement in culverts with excess hydraulic capacity that cannot be made passable by other means. Baffles may increase clogging and debris accumulation within the culvert and require special design considerations specific to the baffle type.

Fishways

Fishways are generally not recommended, but may be useful for some situations where excessive drops occur at the culvert outlet. Fishways require specialized site-specific design for each installation.

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